

**FOURTON OY**  
**SUBSCRIPTION FORM**

Fourton Fund Management Company accepts fund subscriptions twice a month. The subscription days are the 15<sup>th</sup> day and the last banking day of the month. If the 15<sup>th</sup> day is not a banking day the subscription day is the banking day before that.

**We wish to welcome you as Fourton fund unit holder. To subscribe fund units:**

1. Please familiarize yourself with our Fund Prospectus, the Fund Rules and the Key Investor Information Document. These describe the investment strategies of our funds and the subscription procedure.
2. Please fill in the subscription form and send the form with a photocopy of your identity card to Fourton Fund Management Company. If the subscriber is a corporate body, please include a valid extract from the Trade Register. The subscription form must be received by the Fund Management Company three banking days prior to the subscription day.
3. Please pay the subscription amount to the bank account of the fund in question, giving your personal ID or the corporate body's Business Identity Code in the message field. The subscription amount must be in the fund's bank account by 2 p.m. on the subscription day. A subscription confirmation will be sent to you.

| Fund name        | Subscription amount       | Bank account / IBAN<br>BIC: HANDFIHH                        |
|------------------|---------------------------|---|
| Fourton Fiesta   |                           | <b>Handelsbanken</b><br><b>IBAN: FI77 3131 1001 1133 50</b> |
| Fourton Hannibal |                           | <b>Handelsbanken</b><br><b>IBAN: FI49 3131 3001 2582 19</b> |
| Fourton Odysseus |                           | <b>Handelsbanken</b><br><b>IBAN: FI39 3131 3001 1703 23</b> |
| Fourton Stamina  |                           | <b>Handelsbanken</b><br><b>IBAN: FI17 3131 3001 1703 31</b> |
| Fourton Tempo    |                           | <b>Handelsbanken</b><br><b>IBAN: FI12 3131 1001 1491 49</b> |
| Full name        | Personal ID / Business ID |   |
| Address          | Postcode and town         |   |
| Home country     | All nationalities         |   |
| Phone            | Email                     |   |
| Bank             | Account number            |   |
| Place and date   | Signature                 |   |

I certify that by signing this subscription form and thus marking the fund units, I do not break the rules and laws of my home country. I certify that the management company can rely on the information provided and agree that the company can give the information concerning me to the authorities, if they request it. I will inform the management company without delay, if the information provided changes.

Full name: \_\_\_\_\_

Personal identification number: \_\_\_\_\_

Place of birth: \_\_\_\_\_

*On 5th March 2014, Finland has signed a FATCA agreement with the United States on the exchange of tax information and promulgated the law of regulation of the exchange of information between EU member states and OECD countries. The agreement and the law which entered into force require to identify US customers as well as customers living in another EU member state or the OECD countries and to determine their tax domicile, as well as to report their personal data, investments and deposits to the tax authority. Reporting takes place through Finnish Tax Administration.*

*Fourton Oy cannot determine your tax domicile. If you have any questions relating to the determination of tax liability, please contact a tax adviser or local tax authorities.*

**Are you liable to tax somewhere other than Finland due to your country of residence, nationality, place of birth, work or residence permit or any other criterion?**

- No. I declare that I have no tax liability to any other countries than Finland.
- Yes, tax domicile in the following countries: \_\_\_\_\_

Tax liability criterion: \_\_\_\_\_

Foreign tax identification numbers (e.g. US TIN): \_\_\_\_\_

**Information relating to US citizenship and tax liability (FATCA information):**

Please choose one of the following options:

- I confirm that I am a US citizen and/or a tax resident in the United States and that I have declared the United States as my country of taxation along with providing my US tax identification number (TIN) in the section above.
- I confirm that I am not a US citizen nor am I a tax resident in the United States in accordance with the United States tax legislation.

If you have a tax liability to the **United States**, please submit the IRS W-9 form to Fourton.

*The questions below relating to customer due diligence are based on the Finnish Act on Detecting and Preventing Money Laundering and Terrorist Financing (18.7.2008/503).*

**Estimate of the amount of annual earnings and capital income (net):**

- Less than €50,000
- €50,001 - €100,000
- Over €100,000

**Estimate of annual expenditure?** \_\_\_\_\_

**Estimate of the amount of net assets:**

- Less than €100,000
- €100,001 - €500,000
- Over €500,000

**Of which the share of investment assets?** \_\_\_\_\_

**Origin of the assets used for the fund subscription:**

- Wage and salary income
- Savings
- Inheritance
- Gift
- Other, what? \_\_\_\_\_

**Purpose of the subscription:**

Investment activities / pursuit of returns  Other, what? \_\_\_\_\_

**Is the subscription made on behalf of another person?**

- No  
 Yes

Full name: \_\_\_\_\_ Business ID/Personal Identification number: \_\_\_\_\_

Additional information: \_\_\_\_\_

**Are you or have you been a politically exposed person, or a family member or close associate of such a person in the last 12 months?**

- No  
 Yes

A more detailed account: \_\_\_\_\_

The customer or a representative of the customer has received the Fourton fund prospectus and key investor information documents of the funds prior to the subscription

I declare that the information provided herein is correct and I agree to report promptly if my tax domicile or other relevant information changes from what I have disclosed here

Place \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_\_  
Customer signature

\_\_\_\_\_  
Print name

**Please attach to the subscription form a copy of your identification card and, if necessary, power of attorney and a copy of the identification card of the proxy and the assignor.**

Name: \_\_\_\_\_

Business ID: \_\_\_\_\_

Country of incorporation: \_\_\_\_\_

Address of principal place of business: \_\_\_\_\_

The company's main line of business: \_\_\_\_\_

**Contact person(s):**

Full name: \_\_\_\_\_ Personal identification number: \_\_\_\_\_

Full name: \_\_\_\_\_ Personal identification number: \_\_\_\_\_

*The questions below relating to customer due diligence are based on the Finnish Act on Detecting and Preventing Money Laundering and Terrorist Financing (18.7.2008/503) and the regulation of tax information exchange. On 5th March 2014, Finland has signed a FATCA agreement with the United States on the exchange of tax information and promulgated the law of regulation of the exchange of information between EU member states and OECD countries. The agreement and the law which entered into force require to identify US customers as well as customers living in another EU member state or the OECD countries and to determine their tax domicile, as well as to report their personal data, investments and deposits to the tax authority. Reporting takes place through Finnish Tax Administration.*

*If you have any questions relating to the determination of tax liability, please contact a tax adviser or local tax authorities.*

**Is the subscribing company/entity liable to tax somewhere other than Finland due to the entity's place of domicile, place of incorporation or any other criterion?**

No. I declare that the company/entity has no tax liability to any other countries than Finland.

Yes. Please indicate tax countries: \_\_\_\_\_

Foreign tax identification numbers (e.g. US TIN): \_\_\_\_\_

If the subscribing company/entity has a tax liability to the **United States**, please also fill out the IRS form W-9 and submit the form to Fourton attached to the subscription form.

**Is the company/entity a Finnish financial institution (e.g. a credit institution, investment firm, fund management company, insurance company or other entity, which retains assets on behalf of and for the account of another)?**

No

Yes, please indicate GIIN number: \_\_\_\_\_

Yes, the entity is a non-reporting financial institution. Please fill out the W-8BEN-E form and submit the form to Fourton attached to the subscription form or provide a statement on what basis the entity is non-reporting: \_\_\_\_\_

**If the company/entity is not a financial institution, is the company/entity a so-called active or passive non-financial company?**

Active

Passive

The company is an active company if at least one of the following statements applies:

- 1) At least half of the company's gross profit from the previous financial year consists of sales of products and services and less than half of the company's assets consist of securities and other investment instruments
- 2) Shares of the company or its parent company are traded on a stock exchange or other well-established market place
- 3) The customer is or is owned by a state, municipality or other public authority

**If none of the above statements are true, the company is a passive non-financial company.**

**Does the company have owners whose holdings and/or voting rights exceed 25 % (a beneficiary)?**

A beneficial owner is a natural person who controls the entity. A natural person is deemed to have control when he or she directly or indirectly has:

- a) more than 25 per cent of the voting rights granted by the entity's shares or units and this number of votes is based on ownership, membership, articles of association, partnership agreement or comparable regulations or other agreements, or  
 b) when he or she has, directly or indirectly, the right to appoint or remove a majority of the members of the entity's Board of Directors or any similar body or at an institution with a similar right, and this right is based on the same factors as the number of votes referred to in point a).

- No  
 Yes

Details of the beneficial owners (full name, personal identification number or date of birth, all nationalities, shareholding percentage, tax domiciles):

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**Only a passive non-financial institution must respond to the following question:**

**Are any of the above-mentioned beneficial owners liable to tax somewhere other than Finland due to country of residence, nationality, place of birth, work or residence permit or any other criterion?**

- No. I declare that none of the company's beneficial owners have tax liability to any other countries than Finland.  
 Yes, please indicate the tax domiciles, addresses, place of birth and foreign tax identification numbers (e.g. US TIN) of the persons:

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If the beneficial owner has a tax liability to the **United States**, please also fill out the IRS form W-9 and submit the form to Fourton attached to the subscription form.

**Has the company/entity's contact person or beneficial owner been a politically exposed person, or a family member or close associate of such a person in the last 12 months?**

- No  
 Yes

A more detailed account: \_\_\_\_\_

**The company's financial position in accordance with the last audited accounts:**

Turnover: \_\_\_\_\_ €  
 Debt: \_\_\_\_\_ €  
 Equity: \_\_\_\_\_ €  
 Operating profit/loss: \_\_\_\_\_ €  
 Balance sheet total: \_\_\_\_\_ €

**Origin of the assets used for the fund subscription:**

- Company/entity's operating income
- Company/entity's cash flow
- Other, what? \_\_\_\_\_

**Purpose of the fund subscription:**

- Investment activities / pursuit of returns
- Other, what? \_\_\_\_\_
  
- The customer or a representative of the customer has received the Fourton fund prospectus and the key investor information documents of the funds prior to the subscription
- I declare that the information provided herein is correct and I agree to report promptly if the customer's tax domicile or other relevant information changes from what I have disclosed here

Place \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_\_  
Customer signature

\_\_\_\_\_  
Print name

**Please attach to the subscription form a copy of the trade register extract (no more than 3 months old) and of the identification card of the person who signed the subscription form. If the authorised signatories are not apparent from the trade register extract, please also provide a copy of the authorisation.**